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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. Typed or printed name of person mailing paper or fee: JOHNIE CROSS

Johnie Cross
(Signature of person mailing paper or fee)

65431 U.S. PTO
08/868200
06/03/97

Case No. 8406/4

PATENT APPLICATION TRANSMITTAL LETTER

To the Assistant Commissioner for Patents:

- Transmitted herewith for filing is the patent application of: Howard B. Garber for : System and Method for Trading Having a Principal Market Maker. Enclosed are:

- 10 sheet(s) of drawings, 25 pages of application (including title page), and the following Appendices : _____.
- Declaration.
- Power of Attorney.
- Verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27.
- Assignment transmittal letter and Assignment of the invention to : _____.
- _____.

Claims as Filed	Col. 1	Col. 2
For	No. Filed	No. Extra
Basic Fee		
Total Claims	51-20	31
Indep. Claims	12-3	9
Multiple Dependent Claims Present		

*If the difference in col. 1 is less than zero, enter "0" in col. 2.

Small Entity	
Rate	Fee
	\$ 385
x\$11=	\$341
x\$40=	\$360
+\$130=	\$
Total	\$1086

Other Than Small Entity	
Rate	Fee
	\$ 770
x\$22=	\$
x\$80=	\$
+\$260=	\$
Total	\$

Please charge my Deposit Account No. 23-1925 in the amount of \$: _____. A duplicate copy of this sheet is enclosed.

- A check in the amount of \$: 1086 to cover the filing fee is enclosed.
- The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- Any additional filing fees required under 37 CFR § 1.16.
- Any patent application processing fees under 37 CFR § 1.17.
- The Assistant Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-1925. A duplicate cop of this sheet is enclosed.
- Any filing fees under 37 CFR § 1.16 for presentation of extra claims.
- Any patent application processing fees under 37 CFR § 1.17.
- The issue fee set in 37 CFR § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR § 1.311(b).

Date

June 3, 1997

Lalita P. Williams
Lalita P. Williams
Registration No. 39,427